

The Threadneedle ISA Range
Authority & Transfer Form

2012/2013

Individual Savings Account (ISA) Transfer Authority 2012/2013

Please complete the authority below to transfer your ISA to Threadneedle. Your adviser will be happy to provide additional copies if you wish to transfer other ISAs to Threadneedle.

About this form

Completing the Transfer Authority section below is all you need to do to allow us to contact your existing ISA Manager to organise the transfer of your ISA funds. Please complete a separate Transfer Authority for each ISA manager.

Once we have this authority, we will deal directly with your existing ISA Manager, making the whole transfer process straightforward for you.

As soon as your existing ISA investments have been received by us, we will re-invest them according to your instructions.

Please ensure that you have read and understood the Key Features Document, Simplified Prospectus or Key Investor Information Document (KIID) for the Fund you wish to transfer your ISA to and Terms and Conditions of the Threadneedle ISA you have chosen before you sign this form.

Note: You must transfer the whole value of any current year subscriptions. Threadneedle only offers the stocks and shares component of the ISA and therefore cannot accept transfers from insurance and cash components.

Transfer Authority

Name and address of your existing ISA Manager	
Postcode	Telephone No.

*Please note, when you have both current and previous years' ISAs, in certain circumstances, your existing ISA manager may be restricted as to the amount that can be transferred. The value to be transferred is subject to a minimum of £2,000 per fund or £1,000 for the Threadneedle UK Property Trust.

To transfer your current year ISA plan please tick this box*

ISA Reference/Account Number

Please indicate if this is a Stocks and Shares ISA

Stocks and Shares

or Cash ISA

Cash

To transfer your previous years' ISA plans please tick the appropriate box*

All

or

Amount £

Other instructions (eg sell specific investments or specific tax years)

*Please note that the Threadneedle ISA is a Stocks and Shares ISA and if you choose to transfer a Cash ISA into it this will become a Stocks and Shares ISA.

I wish to transfer my Individual Savings Account to: Threadneedle Investment Services Limited,
PO Box 1331, Swindon SN38 7TA.

I understand that my ISA Investments will be transferred in cash and I also authorise you to transfer the proceeds and forward all outstanding distributions and tax reclaims to Threadneedle Investment Services Limited when requested by them.

For your benefit and protection it is important that you read and understand the Key Features Document, Simplified Prospectus or KIID (as appropriate) carefully before signing this form. If you do not understand any of the points in these documents please ask a Financial Adviser or call us on 0800 068 3000 for further information. I agree to the Terms and Conditions of the Threadneedle ISA I have chosen.

Please accept this form as my authority for you to provide Threadneedle Investment Services Limited with any information that they may request from you relating to my ISA.

Signature
X X

Date

Print name

Individual Savings Account (ISA) Transfer Application Form

Please complete this application to transfer your ISA to the Threadneedle ISA Fund(s) of your choice.

Your details

Title (Mr, Mrs, Miss, Other)*	Forename(s)*
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Surname*

Permanent Residential Address*

Postcode*	Telephone No.
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Date of birth*	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px; text-align: center;">D</td><td style="width: 20px; height: 20px; text-align: center;">D</td></tr> </table> <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px; text-align: center;">M</td><td style="width: 20px; height: 20px; text-align: center;">M</td></tr> </table> <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">Y</td><td style="width: 20px; height: 20px; text-align: center;">Y</td></tr> </table>	D	D	M	M	Y	Y	Y	Y	Customer No. (if applicable)	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> </table>								
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Account No. (if applicable)	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> </table>								

* Please note that without this information we cannot open an ISA

National Insurance No*	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> </table>									If you do not have a National Insurance Number please tick this box*	<input type="checkbox"/>

Your investment

Please show by percentage split how you would like your ISA invested. Minimum investment per fund £2,000 except the Threadneedle UK Property Trust £1,000.

Fund Name	%
100%	

Income/withdrawal options (not available for Accelerando Funds)

Please note that you cannot select both Income payments and Cash Withdrawal Facility and that the payments/withdrawals can only be made to yourself.

A. Income payments

If you have invested in an income fund do you wish to receive income from your investment (if applicable)? Yes No

Income payments will be paid by Direct Credit (BACS) Transfer to the account detailed by your personal cheque unless otherwise specified below.

If no bank account details or suitable account verification are provided income will be reinvested, except where your investment represents a top up to an existing holding where income is already being paid.

Please note that if you wish to reinvest income but invest just before the xd date for a fund your first payment may be paid out to you rather than reinvested.

Please note: Managed Income, Strategic Bond, High Yield Bond, Monthly Extra Income and UK Monthly Income Funds distribute 12 times per year. Other income funds distribute twice yearly, except for UK Corporate Bond Fund, Global Equity Income Fund and UK Equity Income Fund where distributions are quarterly.

B. Cash Withdrawal Facility

Do you wish to set up regular cash withdrawals? If yes, please complete details below. Yes No

By setting up the Cash Withdrawal Facility you hereby agree to receiving consolidated transaction statements in respect of your Cash Withdrawal Facility instead of separate contract notes at each withdrawal.

This section to be completed by a Financial Adviser

Client Identification and Account Verification

Type of document (eg passport, driving licence) Serial number

Issued by (name of authority) Date of issue

Was the sale conducted at client's home? Yes No
If no, please attach evidence of address and detail below

Type of document (eg bank/credit card statement or utility bill) Reference/Account Number

Issued by (name of authority) Date of issue

I have seen a bank statement personal cheque and confirm that the bank details given overleaf are correct

Please note that if this section is not fully completed and signed below by the person who has seen the original documents, we may require further information.

Financial Adviser details

Name and address/adviser's stamp

Signature

Threadneedle Agent Code

FSA number

Approximate value of Plan to be transferred

(minimum £2,000 except the Threadneedle UK Property Trust £1,000).

£

Disclosure requirement

Would you like us to provide product disclosure? Yes

Is the client entitled to cancellation rights? Yes No

Original contract note to agent? Yes No

Remuneration

If commission and rebate boxes are left blank we will pay full commission applicable to the fund(s) selected

Commission to be taken by the intermediary whose details appear above is % (initial)

Rebate to be applied is %

Additional notes

