

# Threadneedle ISA Application (including Back-to-Back ISA option) 2012/2013

Please complete this application to apply for the Fund(s) of your choice. Please read the Key Features Documents, Simplified Prospectus or Key Investor Information Document (KIID) for the Fund you wish to invest in for further information. To apply for:

**This year's ISA only** Complete  
 • A  
 • B  
 • E  
 • and F

**This year's ISA & Next year's ISA** Complete  
 • A  
 • B  
 • C  
 • E  
 • F  
 • and G

**Next year's ISA only** Complete  
 • A  
 • C  
 • E  
 • G  
 • and read F

**Remember**  
 If you want to make automatic conversions into an ISA after the next tax year, complete **D**

Please make your cheque payable to Threadneedle Investment Services Ltd, and return it with this application form to PO Box 1331, Swindon SN38 7TA. We can accept a cheque from your Bank or Building Society or from a relative living at your address subject to further identity checks.

## A. Your details

Full name of applicant

Title (Mr, Mrs, Miss, Other)\* Forename(s)\*

Surname\*

Permanent Residential Address\*  
 .....  
 Postcode\* Telephone No.

Date of birth\*         Client No. (if applicable)  -  -

Account No. (if applicable)

\* Please note that without this information we cannot open an ISA.

National Insurance No\*

If you do not have a National Insurance Number please tick this box\*

## Your investment

Minimum subscription per Fund £2,000.  
 Threadneedle UK Property Trust £1,000.  
 Additional subscriptions minimum £1,000 per Fund. (These minimums relate to Class 1 shares.)

Fund name

### B. This tax year's ISA Investment (2012/2013)

**Stocks and Shares ISA only**   
 maximum £11,280

Amount  
 £   
 £   
 £   
 £   
**TOTAL** £

If you have completed section **B**, you must read and sign section **F** overleaf **and sign the relevant box.**

### C. Next tax year's ISA Investment (2013/2014)

**Stocks and Shares ISA only**   
 The current ISA Limit of £11,280 may increase for the next tax year. It is the Investors responsibility to ensure that they avoid any shortfall in their ISA subscription. You can invest more than this but any surplus will be held outside the ISA unless you complete **D**

Amount  
 £   
 £   
 £   
 £   
**£**

If you have completed section **C**, you must read section **F** and read and sign section **G** overleaf.

If you have completed both sections **B** and **C** you **must** read both sections **F** and **G** overleaf **and sign the relevant boxes.**

**D. If you wish to make ISA investments automatically in subsequent ISA tax years, not just the 2013/2014 tax year, then please tick this box:**

## E. Income/withdrawal options (not available for Accelerando Funds)

Please note that you cannot select both Income payments and Cash Withdrawal Facility and that the payments/withdrawals can only be made to yourself.

### A. Income payments

If you have invested in an income fund do you wish to receive income from your investment (if applicable)? Yes  No

- Income payments will be paid by Direct Credit (BACS) Transfer to the account detailed by your personal cheque unless otherwise specified below.
- If no bank account details or suitable account verification are provided income will be reinvested, except where your investment represents a top up to an existing holding where income is already being paid.
- If you wish to reinvest income but invest just before the xd date for a fund your first payment may be paid out to you rather than reinvested.
- Managed Income, Strategic Bond, High Yield Bond, Monthly Extra Income and UK Monthly Income Funds distribute 12 times per year. Other income funds distribute twice yearly, except for UK Corporate Bond Fund, Global Equity Income Fund and UK Equity Income Fund where distributions are quarterly.

**B. Cash Withdrawal Facility**

Do you wish to set up regular cash withdrawals? If yes, please complete details below.

Yes  No

By setting up the Cash Withdrawal Facility you hereby agree to receiving consolidated transaction statements in respect of your Cash Withdrawal Facility instead of separate contract notes at each withdrawal.

**1. Cash withdrawal instruction**

Please tick one box to confirm what this instruction applies to:

All of the funds I have chosen to invest in

Only the following fund(s) I am investing in

(please specify)

(please specify)

(please specify)

If you require different instructions for different funds in which you are investing, please provide details here.

Note: frequency of all payments within an account must be the same.

**2. Payment options**

Please select and complete the required withdrawal option (i or ii).

**Option i – A fixed amount each year**  
Please specify the total withdrawal amount required each year (maximum 7.5% of investment) £

**Option ii – A percentage of the current fund value**  
Please specify the percentage required per annum (maximum 7.5% of investment) %

**3. Payment frequency and start date**

Monthly  Quarterly  Half Yearly  Yearly (please tick one box)

M M Y Y Start Date

**Payment account details**

Please complete details for payment. Without these details we will be unable to pay you.

If you are paying by personal cheque we will pay subsequent income payments or Cash Withdrawals (if selected) and repurchases to this account. If you would like these payments to be made to any other personal account please provide full details and account verification (a crossed through personal cheque, a paying in slip or an original or certified copy of your bank statement). We will not make any payments to third parties.

Account Holder

Bank/Building Society name and branch address

Sort Code Account No. Building Society Ref. No.

**F. Declaration and Authority for this year's ISA** (please tick as appropriate)

I/We confirm that I/we have received the current Key Investor Information Document (if applicable) for the Fund I/we wish to invest in. I have been presented with the Simplified Prospectus or Key Features Document (if applicable), the Prospectus and the current annual, or if published, semi-annual report of the Company. I/we agree with the provisions stated therein.

I agree to the Terms and Conditions applying to the Threadneedle ISA and, if applicable, the Terms and Conditions applying to the Cash Withdrawal Facility.

I apply to subscribe for a Stocks and Shares ISA for the tax year 2012/2013.

I further declare that I wish to apply to subscribe for the tax year in which this application is made and each subsequent tax year (if any) indicated by Section C and Section D, until further notice.

I declare that:

All subscriptions made, and to be made, belong to me.

I am aged 18 years of age or over.

I have not subscribed and will not subscribe more than £11,280 in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.

I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.

I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, perform duties which, by virtue of section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom or I am married to or in a civil partnership with, a person who performs such duties, I will inform Threadneedle Investment Services Limited if I cease to be so resident and ordinarily resident or to perform such duties or be in a civil partnership with or be married to or to a person who performs such duties. With reference to the prospectus, I am not a "US Person" or a resident of the USA and will notify Threadneedle immediately if I become resident in the USA at any time while I am an investor in Threadneedle.

I authorise Threadneedle Investment Services Limited:

a) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;

b) to make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that this application form has been completed to the best of my knowledge and belief.

I have received information regarding the cost of any advice provided from a financial adviser.

I understand that Threadneedle may use an external agency in order to satisfy its Money Laundering Requirements. The agency may record that an enquiry has been made.

Signature

Date

Please note that if you invest in more than one Stocks and Shares ISA, in the same tax year, the second and subsequent ISAs will be invalid. This may result in these investments losing some or all of their tax benefits.

## G. Next year's Stocks and Shares ISA (via Back-to-Back Account)

I confirm that I have not subscribed, nor will I subscribe, to any other Stocks and Shares ISA in the tax year ending 5 April 2014. I also confirm that I have not subscribed and will not subscribe more than £11,280 in total to a Cash ISA and a Stocks and Shares ISA in the same tax year. I have made the declaration in section **F** above and I understand that my investment for the 2013/2014 Stocks and Shares ISA will be invested in the Funds indicated overleaf (outside the ISA) as my choice until the new tax year. I instruct Threadneedle Investment Services Limited to sell the indicated investments and reinvest the proceeds in the same Funds within the Stocks and Shares ISA within the first few days of the tax year 2013/2014. I agree that any excess shares/units after the conversion will remain in the Back-to-Back Account (outside the ISA), unless I have completed section **D**.

Signature  
X

Date  
X

### Privacy

We are committed to maintaining the personal data that you provide to us in accordance with the requirements of data protection/data privacy legislation. Our Privacy Statement gives further information about this and can be found in the Prospectus or at [www.threadneedle.com](http://www.threadneedle.com).

We and other Threadneedle group companies may use your personal information to keep you informed about investment products, services, promotions and special offers that may be of interest to you. If you do not wish your personal information to be used in this way, please tick this box.

You may ask us at any time to stop sending you marketing material.

## This section to be completed by a Financial Adviser

### Client Identification and Account Verification

**First Holder**

Type of government-issued document (e.g. passport, driving licence)

Serial number

Issued by (name of authority)

Date of issue

Was the sale conducted at client's home? Yes  No

If no, please attach evidence of address and detail below

Type of government-issued document (e.g. bank/credit card statement or utility bill)

Reference/Account Number

Issued by (name of authority)

Date of issue

I have seen a  bank statement  personal cheque and confirm that the bank details given overleaf are correct

**Please note that if this section is not fully completed and signed below by the person who has seen the original documents, we may require further information.**

### Financial Adviser details

Name and address/adviser's stamp

Signature X

### Threadneedle Agent Code

B/UK

FSA number

### Disclosure requirement

Would you like us to provide product disclosure? Yes

Is the client entitled to cancellation rights? Yes  No

Original contract note to agent? Yes  No

### Remuneration

If commission and rebate boxes are left blank we will pay full commission applicable to the funds selected.

Commission to be taken by the intermediary whose details appear above is %  (initial)

Rebate to be applied is %

### Additional notes

